

02-01-01

PTO/SB/05 (8-96)

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Attorney Docket Number

P00042701

First Named Inventor

Allen I. Swartz

Total Pages in this Submission

34

JC929 U.S. PTO

NEW UTILITY PATENT
APPLICATION TRANSMITTAL

(to be used for new applications only)

JC929 01/31/01

APPLICATION ELEMENTS

Notice: Checklist items mentioned under *Application Elements* section construct a new utility patent application. Please refer to MPEP Sections 506, 601, (37CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.

1. Fee Transmittal Form (prescribed filing fee(s))
2. Specification
 - Title of the Invention
 - Cross References to Related Applications (if applicable)
 - Statement Regarding Federally-sponsored Research/Development (if applicable)
 - Reference to Microfiche Appendix (if applicable)
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if drawings filed)
 - Detailed Description
 - Claim or Claims
 - Abstract of the Disclosure
3. Drawing(s) (when necessary as prescribed by 35 USC 113)
4. Executed Declaration
5. Genetic Sequence Submission (if applicable, all must be included)
 - Paper Copy
 - Computer Readable Copy
 - Statement Verifying Identical Paper and Computer Readable Copy

ACCOMPANYING APPLICATION PARTS

6. Assignment Papers
7. Certified Copy of Priority Document(s) (if foreign priority is claimed)
8. Computer Program in Microfiche
9. English Translation Document (if applicable)
10. Information Disclosure Statement/PTO-1449 Copies of IDS Citations
11. Petition Checklist and Accompanying Petition
12. Preliminary Amendment
13. Proprietary Information
14. Return Receipt Postcard
15. Small Entity Statement
16. Additional Enclosures (please identify below):

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

John C. Smith, Esq.

Signature

Date

January 31, 2001

FOR OFFICIAL USE ONLY

Application Number			Class	Independent Claims	
Date of Receipt	Application Type		GAU		Total Claims
	Filing Date		Foreign Filing License?		Drawing Sheets
	Small Entity		Foreign Address?		Special Handling?

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$ 391.00)

Complete if Known

Application Number	
Filing Date	January 31, 2001
First Named Inventor	Allen I. Swartz
Group Art Unit	
Examiner Name	
Attorney Docket Number	P00042701

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 770	201 385	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 530	207 265	Plant filing fee	
108 770	208 385	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
24	-20 =	4 X 9 =	36
3	- 3 =	0 X 0 =	0
Multiple Dependent Claims	0	X 0 =	0

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 22	203 11	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim
109 80	209 40	Reissue independent claims over original patent
110 22	210 11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 36.00)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,460	147 2,460	For filing a request for reexamination	
112 900	112 900	Requesting publication of SIR prior to Examiner action	
113 1,790	113 1,790	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for response within first month	
116 390	216 195	Extension for response within second month	
117 930	217 465	Extension for response within third month	
118 1,470	218 735	Extension for response within fourth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,470	138 1,470	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive unavoidably abandoned application	
141 1,290	241 645	Petition to revive unintentionally abandoned application	
142 1,290	242 645	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 650	244 325	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 230	126 230	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 770	246 385	Filing a submission after final rejection (37 CFR 1.129(a))	
149 770	249 385	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
SUBTOTAL (3) (\$ 0)			

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name

John C. Smith, Esq.

Complete (if applicable)

Reg. Number

33,284

Signature

Date

1/31/2001

Deposit Account User ID

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